REGULATIONS OF THE ADMINISTRATOR

Federal Aviation Agency - Washington, D. C.

Part 406

Certification Procedures

(Effective December 29, 1960)

SUBCHAPTER A—PROCEDURAL REGULATIONS

Part 406, Regulations of the Administrator, has been extensively altered by amendment and much of its terminology has become obsolete. Without integration of the fifteen amendments to date and usage of current terminology in the basic regulation, confusion and misuse can result. For these reasons a complete restatement of the part is necessary. This revision incorporates the outstanding amendments, up-dates terminology and deletes obsolete material.

Inasmuch as this revision is procedural only and imposes no additional burden on any person, notice and public procedure hereon are unnecessary and it may be made effective immediately.

In consideration of the foregoing, Part 406 of Chapter III of Title 14 of the Code of Federal Regulations is hereby revised, effective on the date of its publication in the FEDERAL REGISTER, to read as follows:

Subpart A—Introduction

Sec.
406.1 Definitions of terms.
406.2 Use of forms, etc.

Subpart B—Issuance of Certificates

Sec.
406.11 General.
406.12 Medical certificates.
406.13 Airman certificates.
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406.15 Air carrier certificates.
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406.17 Air navigation certificate and notices.
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Subpart C—Medical Records

406.31 Availability of medical history.

AUTHORITY: §§ 406.1 to 406.31 issued under secs. 313(a), 501–505, 601–608, 72 Stat. 752, 771, 772, 774, 775, 776, 778, 779; 49 U.S.C. 1354, 1401, 1402, 1403, 1404, 1405, 1421, 1422, 1423, 1424, 1425, 1426, 1427, 1428.

Subpart A—Introduction

§ 406.1 Definitions of terms.

As used in this part:

(a) "Act" shall mean Federal Aviation Act of 1958, as amended.

(b) "Agency" shall mean Federal Aviation Agency.

(c) "Administrator" shall mean the Administrator of the Federal Aviation Agency.

(d) "Board" shall mean Civil Aeronautics Board.

(e) "Aviation medical examiner" shall mean a licensed physician designated by the Administrator to perform appropriate medical examinations and to issue or deny medical certificates prescribed by the Civil Air Regulations.

(f) "Medical certificate" shall mean an acceptable evidence of an airman's physical fitness issued by the Administrator or his authorized representative within the Agency, or by an aviation medical examiner.

§ 406.2 Use of forms, etc.

Forms and other documents prescribed in this part which contain references to specific units of organization will not be affected by any change in the titles of the units. Such forms and documents will continue in use until they have been superseded or revoked.

Subpart B—Issuance of Certificates

§ 406.11 General.

(a) *Application.* Except as otherwise indicated in this subpart, an application for a certificate may be obtained from, and shall be submitted to, a representative of the Agency or to one of its regional, district, or field offices.

(b) *Examination.* A medical examination where required will be given by an aviation medical examiner as indicated in this subpart. A theoretical or written examination where required will be given by a representative of the Agency except that a student pilot may be examined on the Civil Air Regulations by a certificated flight instructor. Written examinations will be conducted at selected recognized airports. Itineraries of agents will be posted at such airports.

(c) *Issuance.* Requirements concerning the issuance of a certificate are set forth in Chapter I of this title, or in this part.

§ 406.12 Medical certificates.

The following medical certificates will be issued by the Agency to qualified applicants: (a) *First-class medical certificate*—(1) *Purpose.* This certificate must be obtained by an applicant for, or the holder of, an airline transport pilot rating.

(2) *Examination.* An examination for this certificate will be given by an aviation medical examiner specifically designated for this purpose. A list of these aviation medical examiners in any area may be obtained by addressing a request to the Regional Manager of the region in which the area is located.

(3) *Issuance.* This certificate will be issued on a "Medical Certificate," Form FAA–1004.1 with the appropriate class entered in the space provided.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 29 of Chapter I of this title.

(b) *Second-class medical certificate*—(1) *Purpose.* This certificate must be obtained by an applicant for, or holder of, a commercial pilot certificate, air-traffic control-tower operator certificate, flight navigator certificate, flight engineer certificate, or commercial lighter-than-air pilot certificate.

(2) *Examination.* An examination for this certificate will be given by an aviation medical examiner. A list of the aviation medical examiners in any area may be obtained by addressing a request to the Regional Manager of the region in which the area is located.

(3) *Issuance.* This certificate will be issued on a "Medical Certificate", Form FAA–1004.1 with the appropriate class entered in the space provided.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 29 of Chapter I of this title.

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(c) *Third-class medical certificate*—(1) *Purpose.* This certificate must be obtained by an applicant for, or holder of, a student pilot certificate, private pilot certificate, student lighter-than-air pilot certificate, private lighter-than-air pilot certificate, free balloon pilot certificate, and flight radio operator certificate.

(2) *Examination.* An examination for this certificate will be given by an aviation medical examiner. A list of the aviation medical examiners in any area may be obtained by addressing a request to the Regional Manager of the region in which the area is located.

(3) *Issuance.* This certificate will be issued on a "Medical Certificate," Form FAA–1004.1, with the appropriate class entered in the space provided.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 29 of Chapter I of this title.

(d) *Delegation of authority.* The authority vested in the Administrator to issue or deny the issuance of medical certificates by section 602(a) and 602(b) of the Act is delegated to the Civil Air Surgeon and his authorized representatives within the Agency to the extent necessary (1) to examine applicants for and holders of airman medical certificates for compliance with the medical standards applicable to the issuance or renewal of airman medical certificates; and (2) to issue, renew, or deny issuance or renewal of airman medical certificates to applicants or holders of such certificates based upon compliance or noncompliance with the applicable medical standards. Subject to such limitations as may be provided by rules or regulations of the Agency, the authority delegated above is also delegated to aviation medical examiners.

The authority vested in the Administrator by section 609 of the Act to reexamine any civil airman to the extent necessary to amend, suspend, or revoke a medical certificate, is delegated to the Civil Air Surgeon or his authorized representative within the Agency.

(e) *Reconsideration of denial of medical certificate by aviation medical examiner.* Any action of an aviation medical examiner denying issuances of a medical certificate shall be subject to reconsideration by the Civil Air Surgeon or his authorized representative. Denial of issuance of a medical certificate by an aviation medical examiner shall not constitute a denial by the Administrator under section 602, Federal Aviation Act of 1958. Any person whose application for issuance of a medical certificate has been denied by an aviation medical examiner may apply for reconsideration of such denial pursuant to section 314(b) of the Federal Aviation Act by application made in writing and addressed to the Civil Air Surgeon, Federal Aviation Agency, Washington 25, D.C., together with a copy addressed to the respective medical examiner. In the event no application for reconsideration is made within thirty days of the action of the aviation medical examiner, the applicant will be deemed to have acquiesced in the action and to have withdrawn his application for medical certificate. Denial by the Civil Air Surgeon, or his representative, upon application for reconsideration, shall constitute a denial by the Administrator under section 602, Federal Aviation Act.

(f) *Reconsideration of denial by the Civil Air Surgeon of medical certificate.* Any denial by the Civil Air Surgeon or his authorized representative within the Agency shall constitute a denial by the Administrator under section 602 of the Act. Any person whose application for issuance of a medical certificate has been denied initially or upon reconsideration by the Civil Air Surgeon or his authorized representative within the Agency may petition the Administrator pursuant to 14 CFR 405.32 for exemption from Part 29 of the Civil Air Regulations, or he may appeal from such a decision to the Civil Aeronautics Board.

(g) *Medical certification by senior flight surgeons of the military services.* In accordance with agreements entered into by the Civil Air Surgeon on one hand and his counterpart in each of the military services on the other hand, the FAA has designated as aviation medical examiners for the Agency, senior flight surgeons on specified military posts, stations and facilities. These specially designated aviation medical examiners are authorized to perform the physical examination of applicants for FAA medical certificates who are on active duty or others who are eligible under Department of Defense medical programs for FAA medical certification as civil airmen. Furthermore, they have the authority to issue or deny the issuance of appropriate FAA medical certificates in accordance with the rules, regulations and established policies of the Agency. A list of military posts, stations and facilities in which the senior flight surgeon has been designated as aviation medical examiner for the FAA may be obtained from the various Surgeons General of the different services or from the Chief of Medical Certification Division, AM–30, Federal Aviation Agency, Washington 25, D.C.

§ 406.13 Airman certificates.

The following airman certificates will be issued by the Agency to qualified applicants:

(a) *Student pilot certificate*—(1) *Purpose.* This certificate will authorize the holder to pilot in solo flight, aircraft of a type, class, and model specified on the certificate.

(2) *Application.* A single application for this certificate will be made on an "Application for Student or Private Pilot Certificate," Form FAA–355.

(3) *Issuance.* This certificate will be issued on a Form FAA–340.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Parts 20 and 43 of Chapter I of this title.

(b) *Pilot certificate*—(1) *Purpose.* This certificate will authorize the holder to pilot aircraft in accordance with limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate with ratings shall be made on an "Application for Commercial Pilot or Flight Instructor Certificate or Rating," Form FAA–342, or an "Application for Student Pilot or Private Pilot Certificate," Form FAA–355, if for initial certification as a civilian pilot.

(3) *Issuance.* This certificate with ratings will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Parts 20 and 43 of Chapter I of this title.

(c) *Airline transport pilot certificate*—(1) *Purpose.* This certificate will authorize the holder to exercise the privileges of an airline transport pilot in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Airline Transport Pilot Certificate or Rating Thereon," Form FAA–342A.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 21 of Chapter I of this title.

(d) *Lighter-than-air pilot certificate*—(1) *Purpose.* This certificate will authorize the holder to exercise the privileges of a lighter-than-air pilot in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Student Pilot or Private Pilot Certificate," Form FAA–355.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 22 of Chapter I of this title.

(e) *Mechanic or repairman certificate*—(1) *Purpose.* This certificate will authorize the holder to inspect, maintain, or repair aircraft and aircraft engines and appliances in accordance with the limitations set forth on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Airman Mechanic, Repairman, or Parachute Rigger Certificate or Rating," Form FAA–363.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 24 of Chapter I of this title.

(f) *Parachute rigger certificate*—(1) *Purpose.* This certificate will authorize the holder to inspect, pack, repair, or construct parachutes in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Airman Mechanic, Repairman, or Parachute Rigger Certificate or Rating," Form FAA–363.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 25 of Chapter I of this title.

(g) *Air-traffic control-tower operator certificate*—(1) *Purpose.* This certificate will authorize the holder to control air traffic in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Control-Tower Operator or Aircraft Dispatcher Certificate and Rating," Form FAA–374.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 26 of Chapter I of this title.

(h) *Aircraft dispatcher certificate*—(1) *Purpose.* This certificate will authorize the holder to act as an aircraft dispatcher for a certificated air carrier in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Control-Tower Operator or Aircraft Dispatcher Certificate and Rating," Form FAA–374.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 27 of Chapter I of this title.

(i) *Flight radio operator certificate*—(1) *Purpose.* This certificate will authorize the holder to exercise the privileges of a flight radio operator in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Flight Radio Operator Certificate," Form FAA–1863B.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 33 of Chapter I of this title.

(j) *Flight navigator certificate*—(1) *Purpose.* This certificate will authorize the holder to exercise the privileges of a flight navigator in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Flight Navigator Certificate," Form FAA–1863.

(3) *Issuance.* This certificate will be issued on a Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 34 of Chapter I of this title.

(k) *Flight engineer certificate*—(1) *Purpose.* This certificate will authorize the holder to exercise the privileges of a flight engineer in accordance with the limitations prescribed on the certificate.

(2) *Application.* A single application for this certificate shall be made on an "Application for Flight Engineer Certificate," Form FAA–1863A.

(3) *Issuance.* This certificate will be issued on Form FAA–1710.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 35 of Chapter I of this title.

§ 406.14 Aircraft certificates.

The following aircraft certificates will be issued by the Agency to qualified applicants:

(a) *Type certificate*—(1) *Purpose.* This certificate will certify that an aircraft, aircraft engine, propeller, or appliance specified in the Civil Air Regulations as eligible for a type certificate is of proper design, material, specifications, construction, and performance for safe operation, and meets the minimum requirements of the Civil Air Regulations.

(2) *Application.* A single application for this certificate shall be made on an "Application for Type Certificate," Form FAA–312. This application form may be obtained from, and shall be submitted to the Engineering and Manufacturing Branch of the regional office serving the area in which the manufacturer's plant is located.

(3) *Issuance.* This certificate will be issued on a Form FAA–331.

(4) *Reports.* Upon the initial transfer of title to any aircraft manufactured in accordance with Agency standards and requirements for United States registry, and where such aircraft has been manufactured under the terms of a type certificate only, i.e., without benefit of a production certificate, the holder of the type certificate or of a current right to the benefits of a type certificate under a licensing arrangement shall submit a "Statement of Conformity," Form FAA–317, to a duly authorized representative of the Administrator.

(5) *Additional requirements.* Further provisions regarding this certificate are contained in Part 1 of Chapter I of this title.

(b) *Production certificate*—(1) *Purpose.* This certificate will authorize duplicates of a product for which a type certificate has been issued, to be manufactured at designated places.

(2) *Application.* A single application for this certificate and a production limitation record, shall be made on an "Application for Production Certificate," Form FAA–332. The application form may be obtained from, and shall be submitted to, the Engineering and Manufacturing Branch of the regional office serving the area in which the manufacturer's plant is located. If an amendment is desired, application shall be made to such regional office.

(3) *Issuance.* This certificate will be issued on a "Production Certificate," Form FAA–333. It will include a "Production Limitation Record," Form FAA–333A, which will set forth the applicable type certificate.

(4) *Reports.* The holder of this certificate shall notify the Regional Office which issued the certificate, of any changes in organization, methods, procedures, facilities, or location of the manufacturing facilities which affect the product for which the certificate was issued.

(5) *Additional requirements.* Further provisions regarding this certificate are contained in Part 1 of Chapter I of this title.

(c) *Aircraft registration certificate*—(1) *Purpose.* This certificate will satisfy the provisions of the act requiring that an aircraft be registered by its owner before it may be operated or navigated.

(2) *Application and fee.* An application for this certificate shall be made on a Form FAA–500. This form will contain three parts: Part A, "Certificate of Registration"; Part B, "Application for Registration"; and Part C, "Bill of Sale." An applicant for a certificate of registration shall mail the original and a duplicate of Part A, an original of Part B, an original of Part C or another bill of sale or other form of conveyance described in § 501.4(b)(2) of this chapter, and a registration fee of $4 to the Federal Aviation Agency, Aircraft and Airman Records Branch, Oklahoma City, Oklahoma. If a contract of conditional sale is submitted, an additional fee of $4 shall be enclosed to record it. The applicant shall retain the duplicate of Part B in the aircraft as a temporary registration pending receipt of the certificate of registration, Part A of Form FAA–500, and in the event later notification from the FAA authorizes continued temporary operation of the aircraft pending receipt of such certificate of registration, the applicant shall also retain such notification in the aircraft.

(3) *Issuance.* This certificate will be issued on the original "Certificate of Registration," Form FAA–500, Part A.

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 501 of this Chapter.

(d) *Dealer's aircraft registration certificate*—(1) *Purpose.* This certificate will provide an alternate form of registration permitting the operation, demonstration, and merchandising of civil aircraft moving in ordinary trade channels from a manufacturer, distributor, or dealer to an ultimate purchaser.

(2) *Application and fee.* (An application for this certificate shall be made on an "Application for Issuance of Dealer's Aircraft Registration Certificate(s)," Form FAA–1706. It will require a statement of the dealer's citizenship and certain data concerning his status as a bona fide dealer in aircraft. An application containing current data shall be submitted each time certificates are requested, and may cover as many certificates as are desired at that time. This application may be obtained from, and shall be submitted to, the Engineering and Manufacturing Branch of the Regional Office serving the area in which the applicant's business is located. A fee of $5 will be charged for the first certificate, and $1 for each additional or subsequent certificate issued to the same dealer.

(3) *Issuance.* This certificate will be issued on a "Dealers' Aircraft Registration Certificate," Form FAA–1707. It will be valid for 12 months from the date of issuance. Duplicates will not be issued.

(4) *Additional requirements.* Further provisions regarding the issuance and use of this certificate are contained in Part 502 of this chapter.

(e) *Aircraft airworthiness certificate*—(1) *Purpose.* This certificate is issued for an aircraft which complies with the airworthiness requirements of the Civil Air Regulations (Subchapter A of Chapter I of this title). (In addition to an airworthiness certificate, the Civil Air Regulations require that there be carried in the aircraft an approved airplane flight manual which sets forth the limitations for safe operation; or placards or listings or combination of both, containing operating limitations, insofar as they may have been prescribed by the Administrator for a particular aircraft.)

(2) *Application.* A single application for this certificate and an aircraft operation record shall be made on an "Application for Airworthiness Certificate and/or Annual Inspection of an Aircraft," Form FAA–305. Usually, the manufacturer obtains the certificate, which thereafter shall remain with the aircraft. If no airworthiness certificate has been issued for the aircraft, an application for such a certificate may be made by the registered owner of the aircraft, or his agent.

(3) *Issuance.* This certificate will be issued on a "Certificate of Airworthiness," Form FAA–1362 or Form FAA–1362A whichever is applicable.

(4) *Inspections.* An annual inspection of all certificated aircraft by an authorized representative of the Administrator is required unless the aircraft is being maintained and inspected by a continuous maintenance and inspection system. The aircraft and engine records must be maintained and kept available for inspection. (See Part 43 of Chapter I of this title.)

(f) *Ferry permit*—(1) *Purpose.* This permit will authorize the flight of a civil aircraft of United States registry between two specified points for the purpose of repairing, or obtaining certification of, an aircraft, or it will authorize an aircraft to be delivered via fly-away to a border of the United States or to some other point within the United State from which the aircraft is to be exported. (See § 43.10(b) of Chapter I of this title.)

(2) *Application.* An application for this permit shall be made on an "Application and Authorization for Ferry Permit," Form FAA–1779. The applicant shall furnish evidence identifying the aircraft, reveal the points between which the aircraft will be flown, estimate duration of the flight, and furnish a statement giving the reasons why an aircraft airworthiness certificate is not applicable.

(3) *Issuance.* Normally, this permit will be issued on an "Application and Authorization for Ferry Permit," Form FAA–1779, but in some instances may be authorized by wire or telephone.

(g) *Certificate of airworthiness for export*—(1) *Purpose.* This certificate will certify that the type-certificated product involved meets certain general and special requirements for export to particular foreign citizens or foreign countries.

(2) *Application.* An application for this certificate shall be made on an "Application for Export Certificate of Airworthiness," Form FAA–306.

(3) *Issuance.* This certificate will be issued on a "Certificate of Airworthiness for Export," Form FAA–26.

§ 406.15 Air carrier certificates.

The following air carrier certificates will be issued by the Agency to qualified applicants: (a) *Air carrier operating certificate*—(1) *Purpose.* This certificate will describe the operations authorized, and prescribe such operation specifications and limitations as may reasonably be required in the interest of safety. It is required for all air carriers engaged in scheduled and irregular operations.

(2) *Application.* (i) An application for a scheduled air carrier operating certificate shall be made by letter to the regional office of the Agency serving the area in which the principal office of the air carrier is located.

(ii) An application for an irregular air carrier operating certificate shall be made on an "Application for Certificate under CAR 42, 45, or 47," Form FAA–1602, to the regional office serving the area in which the principal office of the air carrier is located.

(3) *Additional requirements.* Further provisions regarding this certificate are contained in Parts 40, 41, 42 and 47 of Chapter I of this title.

§ 406.16 Air agency certificates.

The following air agency certificates and ratings will be issued by the Agency to qualified applicants:

(a) *Basic ground school rating*—(1) *Purpose.* This rating will authorize the holder to operate as a basic ground school.

(2) *Application.* An application for this rating shall be made on an "Application for Airman Agency Certificate and Rating, and Inspection Report," Form FAA–387. A new application shall be submitted at least every two years.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA–390.

(4) *Reports.* The holder of this rating shall submit an "Air Agency Activity Report," Form FAA–1784, whenever it is able to report on 32 students, or at least every six months.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Part 50 of Chapter I of this title.

(b) *Advanced ground school rating*—(1) *Purpose.* This rating will authorize the holder to operate as an advanced ground school.

(2) *Application.* An application for this rating shall be made on an "Application for Airman Agency Certificate and Rating, and Inspection Report," Form FAA–387. A new application shall be submitted at least every two years.

(3) *Issuance.* This certificate will be issued on an "Air Agency Certificate," Form FAA–390.

(4) *Reports.* The holder of this rating shall submit an "Air Agency Activity Report," Form FAA–1784, whenever it is able to report on 32 students, or at least every six months.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Part 50 of Chapter I of this title.

(c) *Primary flying school rating*—(1) *Purpose.* This rating will authorize the holder to operate as a primary flying school.

(2) *Application.* An application for this rating shall be made on an "Application for Airman Agency Certificate and Rating, and Inspection Report," Form FAA–387. A new application shall be submitted at least every two years.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA–390.

(4) *Reports.* The holder of this rating shall submit an "Air Agency Activity Report," Form FAA–1784, whenever it is able to report on 32 students or at least every six months.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Part 50 of Chapter I of this title.

(d) *Commercial flying school rating*—(1) *Purpose.* This rating will authorize the holder to operate as a commercial flying school.

(2) *Application.* An application for this rating shall be made on an "Application for Airman Agency Certificate and Rating, and Inspection Report," Form FAA–387. A new application shall be submitted at least every two years.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA–390.

(4) *Reports.* The holder of this rating shall submit an "Approved Air Agency Activity Report," Form FAA–1784, whenever it is able to report on 32 students or at least every six months.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Part 50 of Chapter I of this title.

(e) *Instrument flying school rating*—(1) *Purpose.* This rating will authorize the holder to operate as an instrument flying school.

(2) *Application.* An application for this rating shall be made on an "Application for Airman Agency Certificate and Rating, and Inspection Report," Form FAA–387. A new application shall be submitted at least every two years.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA–390.

(4) *Reports.* The holder of this rating shall submit an "Air Agency Activity Report," Form FAA–1784, whenever it is able to report on 32 students, or at least every six months.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Part 50 of Chapter I of this title.

(f) *Flight instructor school rating*—(1) *Purpose.* This rating will authorize the holder to operate as a flight instructor school.

(2) *Application.* An application for this rating shall be made on an "Application for Airman Agency Certificate and Rating, and Inspection Report," Form FAA-387. A new application shall be submitted at least every two years.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA-390.

(4) *Reports.* The holder of this rating shall submit an "Air Agency Activity Report," Form FAA-1784, whenever it is able to report on 32 students or at least every six months.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Part 50 of Chapter I of this title.

(g) *Repair station rating*—(1) *Purpose.* This rating will authorize the holder to operate as a repair station.

(2) *Application.* An application for this rating shall be made on an "Application for Repair Station Certificate and Rating," Form FAA-394.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA-390.

(4) *Additional requirements.* Further provisions regarding this rating are contained in Part 52 of Chapter I of this title.

(h) *Mechanic school rating*—(1) *Purpose.* This rating will authorize the holder to operate as a mechanic school.

(2) *Application.* An application for this rating shall be made on a "Mechanic School Application and Inspection Report," Form FAA-614.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA-390.

(4) *Reports.* The holder of this rating shall submit a Mechanic School Report," Form FAA-392, in January and July of every year.

(5) *Additional requirements.* Further provisions regarding this rating are contained in Parts 50 and 53 of Chapter I of this title.

(i) *Parachute loft rating*—(1) *Purpose.* This rating will authorize the holder to operate as a parachute loft.

(2) *Application.* An application for this rating shall be made on an "Application for Parachute Loft Certificate and Rating," Form FAA-1371.

(3) *Issuance.* This rating will be issued on an "Air Agency Certificate," Form FAA-390.

(4) *Additional requirements.* Further provisions regarding this rating are contained in Part 54 of Chapter I of this title.

(j) *Ground instructor certificate and rating*—(1) *Purpose.* This certificate and rating will authorize the holder to serve as a ground instructor.

(2) *Application.* An application for this certificate and rating shall be made on an "Application for Ground Instructor Certificate or Rating," Form FAA-360.

(3) *Issuance.* This certificate and rating will be issued on a Form FAA-1710.

(4) *Additional requirements.* Further provisions regarding this certificate and rating are contained in Parts 50 and 51 of Chapter I of this title.

(k) *Commercial operator certificate*—(1) *Purpose.* This certificate will authorize the holder to serve as a commercial operator.

(2) *Application.* An application for this certificate shall be made on an "Application for Certificate under CAR 42, 45, or 47," Form FAA-1602.

(3) *Issuance.* This certificate will be issued on a Form FAA-1603, "Air Carrier Operating Certificate."

(4) *Additional requirements.* Further provisions regarding this certificate are contained in Part 45 of Chapter I of this title.

§ 406.17 Air navigation certificate and notices.

The following air navigation certificate will be issued by the Administrator to qualified applicants: In addition, the following air navigation notices are required for the construction or alteration of certain structures and landing areas.

(a) *Authorization to operate true light*—(1) *Purpose.* Upon certification by an applicant that a light will be established, maintained and operated as an aid to air navigation in accordance with the applicable requirements of the Agency, the Administrator will issue an authorization to the applicant authorizing him to operate such light as a "true light."

(2) *Application.* The application shall be submitted on Form FAA-114, "Certification and Lawful Authority to Operate a True Light."

(b) *Notice of construction or alteration*—(1) *Purpose.* The purpose of this notice is to advise the Administrator of the construction or alteration of certain structures and landing areas along or near civil airways for which a notice is required under Part 625 of this chapter.

(2) *Submittal of notice.* Notice shall be submitted to the Administrator in accordance with the provisions of Part 625 of this chapter on Form FAA-117, "Notice of Proposed Construction or Alteration."

§ 406.19 Crew member certificate.

(a) *Purpose.* This certificate will be issued to facilitate the entry and clearance into ICAO contracting states of United States citizens employed by scheduled air carriers as flight crew members or crew members on U.S.-registered aircraft engaged in scheduled international air transportation, as provided in Annex 9, as amended, to the Convention on International Civil Aviation.

(b) *Application.* An application for this certificate shall be made on an "Application for Crew Member Certificate," Form FAA-2116, and submitted to the Air Carrier District Office in charge of the air carrier by whom the applicant is employed.

(c) *Issuance.* This certificate will be issued on a "Crew Member Certificate," Form FAA-2116.1.

(d) *Surrender.* This certificate will be surrendered for cancellation by the air carrier or the certificate holder to the nearest Air Carrier District Office upon termination of the holder's assignment in scheduled international air transportation with the air carrier.

Subpart C—Medical Records

§ 406.31 Availability of medical history.

If the Administrator or his authorized representative finds that additional medical history is necessary to determine whether any person who applies for or holds a medical certificate meets the physical standards therefor, such person will be requested to authorize any clinic, hospital, doctor or other person to release to the Administrator or his authorized representative any available information or records concerning such medical history. Refusal or failure to provide the requested authorization may be cause for denial, suspension, modification, or revocation of the medical certificate.

Issued in Washington, D.C. on December 22, 1960.

JAMES T. PYLE,
Acting Administrator.

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(25 Fed. Reg. 13870)

Free copies of available amendments may be requested from the Federal Aviation Agency, Aeronautical Reference Branch, Attn: MS-126, Washington 25, D.C.

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